# United States Court of Appeals for the Federal Circuit

January 29, 2014

# ERRATA

**REALTIME DATA, LLC (doing business as IXO),** *Plaintiff-Appellant,* 

v.

MORGAN STANLEY, THE GOLDMAN SACHS GROUP, INC., J.P. MORGAN CHASE & CO., MORGAN STANLEY & CO., INC., GOLDMAN SACHS & CO., GOLDMAN SACHS EXECUTION & CLEARING, LP, J.P. MORGAN SECURITIES, INC., AND J.P. MORGAN CLEARING CORP. (formerly known as Bear, Stearns Securities Corp.), Defendants-Appellees,

AND

CREDIT SUISSE HOLDINGS (USA), INC. AND CREDIT SUISSE SECURITIES (USA), LLC, Defendants-Appellees,

AND

HSBC BANK USA, N.A. AND HSBC SECURITIES (USA), INC., Defendants-Appellees,

## BNY CONVERGEX GROUP, LLC AND BNY CONVERGEX EXECUTION SOLUTIONS, LLC, Defendants.

#### 2013-1092

Appeal from the United States District Court for the Southern District of New York in No. 11-CV-6696, Judge Katherine B. Forrest.

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**REALTIME DATA, LLC (doing business as IXO),** *Plaintiff-Appellant,* 

v.

# CME GROUP, INC., BOARD OF TRADE OF THE CITY OF CHICAGO, INC., NEW YORK MERCANTILE EXCHANGE, INC. (agent of Nymex), Defendants-Appellees,

AND

BATS TRADING, INC., (also known as BATS Exchange, Inc.) Defendant-Appellee,

AND

INTERNATIONAL SECURITIES EXCHANGE, Defendant-Appellee,

AND

## NASDAQ OMX GROUP, INC., AND NASDAQ OMX PHLX, INC., Defendants-Appellees,

# NYSE EURONEXT, OPTIONS PRICE REPORTING AUTHORITY, NYSE ARCA, INC., NYSE MKT, LLC (formerly known as NYSE Amex, LLC), AND SECURITIES INDUSTRY AUTOMATION CORPORATION,

Defendants-Appellees.

#### 2013-1093

Appeal from the United States District Court for the Southern District of New York in No. 11-CV-6697, Judge Katherine B. Forrest.

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**REALTIME DATA, LLC (doing business as IXO)**, Plaintiff-Appellant,

v.

## THOMSON REUTERS CORPORATION, Defendant-Appellee,

AND

## **BLOOMBERG L.P.**, Defendant-Appellee,

AND

FACTSET RESEARCH SYSTEMS, INC., Defendant-Appellee,

## INTERACTIVE DATA CORPORATION, Defendant-Appellee.

#### 2013-1095

Appeal from the United States District Court for the Southern District of New York in No. 11-CV-6698, Judge Katherine B. Forrest.

-----

**REALTIME DATA, LLC (doing business as IXO),** *Plaintiff-Appellant,* 

v.

# CME GROUP, INC., BOARD OF TRADE OF THE CITY OF CHICAGO, INC., NEW YORK MERCANTILE EXCHANGE, INC. (agent of Nymex), Defendants-Appellees,

AND

BATS TRADING, INC., (also known as BATS Exchange, Inc.) Defendant-Appellee,

#### AND

## INTERNATIONAL SECURITIES EXCHANGE, Defendant-Appellee,

AND

#### NASDAQ OMX GROUP, INC., AND

## NASDAQ OMX PHLX, INC., Defendants-Appellees,

NYSE EURONEXT, OPTIONS PRICE REPORTING AUTHORITY, NYSE ARCA, INC., NYSE MKT, LLC (formerly known as NYSE Amex, LLC), AND SECURITIES INDUSTRY AUTOMATION CORPORATION,

Defendants-Appellees.

## 2013 - 1097

Appeal from the United States District Court for the Southern District of New York in No. 11-CV-6699, Judge Katherine B. Forrest.

**REALTIME DATA, LLC (doing business as IXO),** *Plaintiff-Appellant,* 

v.

# THOMSON REUTERS CORPORATION,

Defendant-Appellee,

#### AND

#### **BLOOMBERG L.P.,**

Defendant-Appellee,

#### AND

FACTSET RESEARCH SYSTEMS, INC., Defendant-Appellee,

AND

## **INTERACTIVE DATA CORPORATION,** *Defendant-Appellee.*

#### 2013 - 1098

Appeal from the United States District Court for the Southern District of New York in No. 11-CV-6700, Judge Katherine B. Forrest.

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**REALTIME DATA, LLC (doing business as IXO),** *Plaintiff-Appellant,* 

v.

MORGAN STANLEY, THE GOLDMAN SACHS GROUP, INC., J.P. MORGAN CHASE & CO., MORGAN STANLEY & CO., INC., GOLDMAN SACHS & CO., GOLDMAN SACHS EXECUTION & CLEARING, LP, J.P. MORGAN SECURITIES, INC., AND J.P. MORGAN CLEARING CORP. (formerly known as Bear, Stearns Securities Corp.), Defendants-Appellees,

AND

CREDIT SUISSE HOLDINGS (USA), INC. AND CREDIT SUISSE SECURITIES (USA), LLC, Defendants-Appellees,

AND

HSBC BANK USA, N.A. AND HSBC SECURITIES (USA), INC., Defendants-Appellees,

BNY CONVERGEX GROUP, LLC, BNY CONVERGEX EXECUTION SOLUTIONS, LLC, THE BANK OF NEW YORK MELLON CORPORATION, BANK OF AMERICA CORPORATION, BANC OF AMERICA SECURITIES, LLC, MERRILL LYNCH & CO., INC., AND MERRILL LYNCH, PIERCE, FENNER & SMITH, INC., Defendants.

2013-1099

Appeal from the United States District Court for the Southern District of New York in No. 11-CV-6701, Judge Katherine B. Forrest.

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**REALTIME DATA, LLC (doing business as IXO),** *Plaintiff-Appellant,* 

v.

CME GROUP, INC., BOARD OF TRADE OF THE CITY OF CHICAGO, INC., NEW YORK MERCANTILE EXCHANGE, INC. (agent of Nymex), Defendants-Appellees,

AND

BATS TRADING, INC., (also known as BATS Exchange, Inc.) Defendant-Appellee,

AND

# INTERNATIONAL SECURITIES EXCHANGE, Defendant-Appellee,

#### AND

# NASDAQ OMX GROUP, INC., AND NASDAQ OMX PHLX, INC., Defendants-Appellees,

# NYSE EURONEXT, OPTIONS PRICE REPORTING AUTHORITY, NYSE ARCA, INC., NYSE MKT, LLC (formerly known as NYSE Amex, LLC), AND SECURITIES INDUSTRY AUTOMATION CORPORATION,

Defendants-Appellees.

#### 2013-1100

Appeal from the United States District Court for the Southern District of New York in No. 11-CV-6702, Judge Katherine B. Forrest.

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**REALTIME DATA, LLC (doing business as IXO),** *Plaintiff-Appellant,* 

v.

# THOMSON REUTERS CORPORATION, Defendant-Appellee,

AND

BLOOMBERG L.P., Defendant-Appellee,

## FACTSET RESEARCH SYSTEMS, INC., Defendant-Appellee,

#### AND

## **INTERACTIVE DATA CORPORATION,** Defendant-Appellee.

#### 2013-1101

Appeal from the United States District Court for the Southern District of New York in No. 11-CV-6703, Judge Katherine B. Forrest.

**REALTIME DATA, LLC (doing business as IXO),** *Plaintiff-Appellant,* 

v.

MORGAN STANLEY, THE GOLDMAN SACHS GROUP, INC., J.P. MORGAN CHASE & CO., MORGAN STANLEY & CO., INC., GOLDMAN SACHS & CO., GOLDMAN SACHS EXECUTION & CLEARING, LP, J.P. MORGAN SECURITIES, INC., AND J.P. MORGAN CLEARING CORP. (formerly known as Bear, Stearns Securities Corp.), Defendants-Appellees,

AND

CREDIT SUISSE HOLDINGS (USA), INC. AND CREDIT SUISSE SECURITIES (USA), LLC,

#### Defendants-Appellees,

AND

# HSBC BANK USA, N.A. AND HSBC SECURITIES (USA), INC., Defendants-Appellees,

AND

# BNY CONVERGEX GROUP, LLC, BNY CONVERGEX EXECUTION SOLUTIONS, LLC, THE BANK OF NEW YORK MELLON CORPORATION, BANK OF AMERICA CORPORATION, BANC OF AMERICA SECURITIES, LLC, MERRILL LYNCH & CO., INC., AND MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.,

Defendants.

2013-1103

Decided: January 27, 2014 Nonprecedential Opinion

Please make the following change to pages 10-12 of the opinion:

DIRK D. THOMAS, McKool Smith, P.C., of Washington, DC, argued for plaintiff-appellant. With him on the brief were JOEL L. THOLLANDER, of Austin, Texas; ROBERT A. COTE, BRETT E. COOPER, DANIEL J. MELMAN, LAURA A. HANDLEY, and LAUREN L. FORNAROTTO, of New York, New York; and J. MICHAEL HENNIGAN and RODERICK G. DORMAN, of Los Angeles, California.

WILLIAM F. LEE, Wilmer Cutler Pickering Hale and Dorr, LLP, of Boston, Massachusetts, argued for defendants-appellees. With him on the brief were MARK G. MATUSCHAK, MONICA GREWAL, and KEVIN PRUSSIA, of Boston, Massachusetts, and GREGORY H. LANTIER, of Washington, DC, for defendants-appellees Credit Suisse Holdings (USA) Inc., et al.; DANIEL A. DEVITO and STACEY L. COHEN, Skadden, Arps, Slate, Meagher & Flom, LLP, of New York, New York, and JAMES J. ELACQUA, GARETH DEWALT, and MICHAEL D. SAUNDERS, of Palo Alto, California, for defendants-appellees Morgan Stanley, et al.; ROY W. HARDIN and M. SCOTT FULLER, Locke Lord LLP, of Dallas, Texas, for defendants-appellees HSBC Bank USA, et al.; JOHN M. DIMATTEO, Willkie Farr & Gallagher LLP, of New York, New York, for defendant-appellee Bloomberg L.P.; CONSTANCE S. HUTTNER and STEPHANIE L. DONAHUE, Vinson & Elkins, LLP, of New York, New York, DAVID J. TOBIN, of Dallas, Texas, and SYED K. FAREED, of Austin, Texas, for defendant-appellee Thomson Reuters Corp.; BRIAN E. MORAN, Robinson & Cole, LLP, of Stamford Connecticut, for defendant-appellee FactSet Research Systems Inc.; and BENJAMIN W. HATTENBACH and ARKA D. CHATTERJEE, Irell & Manella LLP, of Los Angeles, California, for defendant-appellee Interactive Data Corporation.

JAMES H. SHALEK, Proskauer Rose, LLP, of New York, New York, argued for defendants-appellees NYSE Euronext, et al. With him on the brief were MARGARET A. DALE and NOLAN M. GOLDBERG. MICHAEL HAWES, Baker Botts, LLP, of Houston, Texas, argued for defendants-appellees CME Group, Inc., et al. With him on the brief were SCOTT PARTRIDGE and LISA C. KELLY. On the brief for defendantappellee International Securities Exchange, LLC, were MICHAEL M. MURRAY, BRYAN N. DEMATTEO, and RACHEL H. KAUFMAN, Winston & Strawn, LLP, of New York, New York, and GEOFFREY P. EATON, of Washington, DC; JOHN M. CHALLIS and KEITH J. GRADY, Polsinelli, PC, of St. Louis, Missouri, for defendant-appellee BATS Trading, Inc.; and LYNN E. RZONCA, Ballard Spahr LLP, of Philadelphia, Pennsylvania, for defendants-appellees NASDAQ OMX Group, Inc., et al.